

To:	Trust Board
From:	Malcolm Lowe-Lauri, Chief Executive
Date:	28th June 2012
CQC regulation:	

Title:	NHS Midlands & East Provider Management Regime for Aspirant NHS Trusts										
Author/Responsible Director:	Helen Harrison – Foundation Trust (FT) Programme Manager / Malcolm Lowe-Lauri, Chief Executive										
Purpose of the Report:	In December 2011 the NHS Midlands and East Provider Development Committee agreed to adopt a Provider Management Regime approach to the over-sight of NHS Trusts across the cluster. This paper seeks Trust Board approval of the June 2012 monitoring return under this regime.										
The Report is provided to the Board for:	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;">Decision</td> <td style="width: 5%; text-align: center;">X</td> <td style="width: 50%; text-align: center;">Discussion</td> <td style="width: 5%;"></td> </tr> <tr> <td style="text-align: center;">Assurance</td> <td></td> <td style="text-align: center;">Endorsement</td> <td></td> </tr> </table>			Decision	X	Discussion		Assurance		Endorsement	
Decision	X	Discussion									
Assurance		Endorsement									
Summary / Key Points:	<p>The new provider regime is based on the Monitor Compliance Framework and puts the onus on Trust Boards to:</p> <ul style="list-style-type: none"> • Demonstrate self awareness in providing assurance. • Submit accurate self certification. • Be clear on plans to address issues in a timely manner, and • It holds trust boards to account for the delivery of their commitments. <p>The new regime has been running in shadow form since January 2012. The risk ratings of each NHS Trust will be published in the public in the Provider Development Committee report to the Midlands and East SHA Cluster Board. This will commence after the Provider Development Committee meeting in June 2012.</p>										
Recommendations:	<p>The Trust Board is asked to note that:</p> <ol style="list-style-type: none"> 1) Approve the June 2012 PMR submission. 2) The PMR submission will be updated as additional data for May becomes available. 3) The return will be submitted to the SHA following review and approval at the 28th June 2012 Trust Board meeting. 										
Previously considered at another corporate UHL Committee?											

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Strategic Risk Register:	Performance KPIs year to date: N/A
Resource Implications (eg Financial, HR)	
Assurance Implications	
Patient and Public Involvement (PPI) Implications Not at this stage	
Equality Impact None	
Information exempt from Disclosure None	
Requirement for further review? All future Provider Management Regime submissions will be reviewed and signed off by the Trust Board.	

UNIVERSITY HOSPITALS OF LEICESTER NHS TRUST

REPORT TO: Trust Board

DATE: 28th June 2012

REPORT FROM: Malcolm Lowe-Lauri

SUBJECT: NHS Midlands & East Provider Management Regime for Aspirant NHS Trusts – June 2012 submission

1) Introduction

This paper summarises the key points from June's NHS Midlands and East Provider Management Regime submission. A copy of the full return is contained within the month 2 Quality and Performance Report.

2) Key points to note

- The new regime has been running in shadow form since January 2012. The risk ratings of each NHS Trust will be published in the public in the Provider Development Committee report to the Midlands and East SHA Cluster Board. This will commence after the Provider Development Committee meeting in June 2012.
- The SHA have yet to issue the an updated version of the PMR monitoring tool to reflect Monitor's Compliance Framework for 2012/13 and the Single Operating Model. In the interim the PMR monitoring tool for 2011/12 has been extended to capture May 2012 data.
- At the time of writing this paper, May data is not yet available for:
 - The cancer targets – achievement against these targets has been predicted.
 - Mortality.
 - Pressure ulcers.
- Executive leads have been assigned to each of the Board Statements. These leads have been asked to:
 - develop action plans for moving from a ✖ to a ✓ for all Board Statements within the Provider Management Regime submission.
 - provide appropriate assurance for the basis of a ✓ declaration against a Board Statement.
 - develop action plans for the issues cited as reasons for not signing Governance Declaration 1 within the Provider Management Regime.
- The development of these action plans and evidence to support assurance is ongoing.
- As a consequence of the ongoing development of action plans and evidence, Board Statements 5, 9, 11, 12, have moved from a ✖ to a ✓.

Recommendations

The Trust Board is asked to:

- 1) Approve the June 2012 PMR submission.
- 2) Note that the PMR submission will be updated as additional data for May becomes available.
- 3) Note that the return will be submitted to the SHA following review and approval at the 28th June 2012 Trust Board meeting.