

**NHS England Core Standards for Emergency preparedness, resilience and response**  
v2.0

The attached EPRR Core Standards spreadsheet has 3 tabs:

**EPRR Core Standards tab** - with core standards nos 1 - 37.

**HAZMAT/ CBRN core standards tab**: with core standards 38- 51. Please note this is designed as a stand alone tab.

**HAZMAT/ CBRN equipment checklist**: designed to support acute and ambulance service providers in core standard 43.

Red = Not compliant with core standard and not in the EPRR work plan within the next 12 months.  
 Amber = Not compliant but evidence of progress and in the EPRR work plan for the next 12 months.  
 Green = fully compliant with core standard.

|   | Core standard   | Clarifying information   | Evidence of assurance   | Self assessment RAG   | Action to be taken | Lead  | Timescale |        |  |
|---|---|--|---|---|--------------------|---|-----------|--------|--|
| <b>Governance</b>   |   |  |   |   |                    |   |           |        |  |
| 1   | Organisations have a director level accountable emergency officer who is responsible for EPRR (including business continuity management)  |  | <ul style="list-style-type: none"> <li>Ensuring accountable emergency officer's commitment to the plans and giving a member of the executive management board and/or governing body overall responsibility for the Emergency Preparedness Resilience and Response, and Business Continuity Management agendas</li> </ul>  | GREEN   |                    |   |           |        |  |
| 2   | Organisations have an annual work programme to mitigate against identified risks and incorporate the lessons identified relating to EPRR (including details of training and exercises and past incidents) and improve response.   | <p>Lessons identified from your organisation and other partner organisations. NHS organisations and providers of NHS funded care treat EPRR (including business continuity) as a systematic and continuous process and have procedures and processes in place for updating and maintaining plans to ensure that they reflect:</p> <ul style="list-style-type: none"> <li>the undertaking of risk assessments and any changes in that risk assessment(s)</li> <li>lessons identified from exercises, emergencies and business continuity incidents</li> <li>restructuring and changes in the organisations</li> <li>changes in key personnel</li> <li>changes in guidance and policy</li> </ul>   | <ul style="list-style-type: none"> <li>Having a documented process for capturing and taking forward the lessons identified from exercises and emergencies, including who is responsible.</li> <li>Appointing an emergency preparedness, resilience and response (EPRR) professional(s) who can demonstrate an understanding of EPRR principles.</li> <li>Appointing a business continuity management (BCM) professional(s) who can demonstrate an understanding of BCM principles.</li> <li>Being able to provide evidence of a documented and agreed corporate policy or framework for building resilience across the organisation so that EPRR and Business continuity issues are mainstreamed in processes, strategies and action plans across the organisation.</li> <li>That there is an appropriate budget and staff resources in place to enable the organisation to meet the requirements of these core standards. This budget and resource should be proportionate to the size and scope of the organisation.</li> </ul> | GREEN   |                    |   |           |        |  |
| 3   | Organisations have an overarching framework or policy which sets out expectations of emergency preparedness, resilience and response.   | <p>Arrangements are put in place for emergency preparedness, resilience and response which:</p> <ul style="list-style-type: none"> <li>Have a change control process and version control</li> <li>Take account of changing business objectives and processes</li> <li>Take account of any changes in the organisations functions and/ or organisational and structural and staff changes</li> <li>Take account of change in key suppliers and contractual arrangements</li> <li>Take account of any updates to risk assessment(s)</li> <li>Have a review schedule</li> <li>Use consistent unambiguous terminology.</li> <li>Identify who is responsible for making sure the policies and arrangements are updated, distributed and regularly tested;</li> <li>Key staff must know where to find policies and plans on the intranet or shared drive.</li> <li>Have an expectation that a lessons identified report should be produced following exercises, emergencies and /or business continuity incidents and share for each exercise or incident and a corrective action plan put in place.</li> <li>Include references to other sources of information and supporting documentation</li> </ul> |   | GREEN   |                    |   |           |        |  |
| 4   | The accountable emergency officer will ensure that the Board and/or Governing Body will receive as appropriate reports, no less frequently than annually, regarding EPRR, including reports on exercises undertaken by the organisation, significant incidents, and that adequate resources are made available to enable the organisation to meet the requirements of these core standards.   | <p>After every significant incident a report should go to the Board/ Governing Body (or appropriate delegated governing group) . Must include information about the organisation's position in relation to the NHS England EPRR core standards self assessment.</p>  |   | GREEN   |                    |   |           |        |  |
| <b>Duty to assess risk</b>  |   |  |   |   |                    |   |           |        |  |
| 5   | Assess the risk, no less frequently than annually, of emergencies or business continuity incidents occurring which affect or may affect the ability of the organisation to deliver it's functions.  | <p>Risk assessments should take into account community risk registers and at the very least include reasonable worst-case scenarios for:</p> <ul style="list-style-type: none"> <li>severe weather (including snow, heatwave, prolonged periods of cold weather and flooding);</li> <li>staff absence (including industrial action);</li> <li>the working environment, buildings and equipment (including denial of access);</li> <li>fuel shortages;</li> <li>surges and escalation of activity;</li> <li>IT and communications;</li> <li>utilities failure;</li> <li>response a major incident / mass casualty event</li> <li>supply chain failure; and</li> <li>associated risks in the surrounding area (e.g. COMAH and iconic sites)</li> </ul> <p>There is a process to consider if there are any internal risks that could threaten the performance of the organisation's functions in an emergency as well as external risks eg. Flooding, COMAH sites etc.</p>  | <ul style="list-style-type: none"> <li>Being able to provide documentary evidence of a regular process for monitoring, reviewing and updating and approving risk assessments</li> <li>Version control</li> <li>Consulting widely with relevant internal and external stakeholders during risk evaluation and analysis stages</li> <li>Assurances from suppliers which could include, statements of commitment to BC, accreditation, business continuity plans.</li> <li>Sharing appropriately once risk assessment(s) completed</li> </ul>  | GREEN   |                    |   |           |        |  |
| 6   | There is a process to ensure that the risk assessment(s) is in line with the organisational, Local Health Resilience Partnership, other relevant parties, community (Local Resilience Forum/ Borough Resilience Forum), and national risk registers.  | <ul style="list-style-type: none"> <li>IT and communications;</li> <li>utilities failure;</li> <li>response a major incident / mass casualty event</li> <li>supply chain failure; and</li> <li>associated risks in the surrounding area (e.g. COMAH and iconic sites)</li> </ul> <p>There is a process to consider if there are any internal risks that could threaten the performance of the organisation's functions in an emergency as well as external risks eg. Flooding, COMAH sites etc.</p>  |   | GREEN   |                    |   |           |        |  |
| 7   | There is a process to ensure that the risk assessment(s) is informed by, and consulted and shared with your organisation and relevant partners.   | Other relevant parties could include COMAH site partners, PHE etc.   |   | GREEN   |                    |   |           |        |  |
| <b>Duty to maintain plans – emergency plans and business continuity plans</b> |   |  |   |   |                    |   |           |        |  |
|   | <p>Effective arrangements are in place to respond to the risks the organisation is exposed to, appropriate to the role, size and scope of the organisation, and there is a process to ensure the likely extent to which particular types of emergencies will place demands on your resources and capacity.</p> <p>Have arrangements for (but not necessarily have a separate plan for) some or all of the following (organisation dependent) (NB, this list is not exhaustive):</p> | Incidents and emergencies (Incident Response Plan (IRP) (Major Incident Plan))   | <p>Relevant plans:</p> <ul style="list-style-type: none"> <li>demonstrate appropriate and sufficient equipment (inc. vehicles if relevant) to deliver the required responses</li> <li>identify locations which patients can be transferred to if there is an incident that requires an evacuation;</li> <li>outline how, when required (for mental health services), Ministry of Justice approval will be gained for an evacuation;</li> <li>take into account how vulnerable adults and children can be managed to avoid admissions, and include appropriate focus on providing healthcare to displaced populations in rest centres;</li> </ul>  | GREEN   |                    |   |           |        |  |
|   |   | corporate and service level Business Continuity (aligned to current nationally recognised BC standards)  |   | <ul style="list-style-type: none"> <li>identify locations which patients can be transferred to if there is an incident that requires an evacuation;</li> <li>outline how, when required (for mental health services), Ministry of Justice approval will be gained for an evacuation;</li> <li>take into account how vulnerable adults and children can be managed to avoid admissions, and include appropriate focus on providing healthcare to displaced populations in rest centres;</li> </ul> | AMBER              | Corporate level BC plan to be developed. Local BC plans to be finalised | A.Vogel   | Jun-15 |  |
|   |   | HAZMAT/ CBRN - see separate checklist on tab overleaf  |   |   | GREEN              |   |           |        |  |
|   |   | Severe Weather (heatwave, flooding, snow and cold weather)   |   |   | GREEN              |   |           |        |  |

|                                 | Core standard   | Clarifying information   | Evidence of assurance   | Self assessment RAG | Action to be taken  | Lead    | Timescale |
|---------------------------------|---|--|---|---------------------|---|---------|-----------|
| 8                               |   | Pandemic Influenza   | <ul style="list-style-type: none"> <li>include arrangements to co-ordinate and provide mental health support to patients and relatives, in collaboration with Social Care if necessary, during and after an incident as required;</li> <li>make sure the mental health needs of patients involved in a significant incident or emergency are met and that they are discharged home with suitable support</li> <li>ensure that the needs of self-presenters from a hazardous materials or chemical, biological, nuclear or radiation incident are met.</li> <li>for each of the types of emergency listed evidence can be either within existing response plans or as stand alone arrangements, as appropriate.</li> </ul>   | GREEN               |   |         |           |
|                                 |   | Mass Countermeasures (eg mass prophylaxis, or mass vaccination)  |   | AMBER               | Review current arrangements and update plans  | A.Vogel | Apr-15    |
|                                 |   | Mass Casualties  |   | GREEN               |   |         |           |
|                                 |   | Fuel Disruption  |   | AMBER               | Current plan requires reviewing and updating  | A.Vogel | Feb-15    |
|                                 |   | Surge and Escalation Management (inc. links to appropriate clinical networks e.g. Burns, Trauma and Critical Care)   |   | GREEN               |   |         |           |
|                                 |   | Infectious Disease Outbreak  |   | GREEN               |   |         |           |
|                                 |   | Evacuation   |   | AMBER               | Current plan requires reviewing and updating to include off site evacuation   | A.Vogel | May-15    |
|                                 |   | Lockdown   |   | GREEN               |   |         |           |
|                                 |   | Utilities, IT and Telecommunications Failure   |   | AMBER               | Incorporated as part of the local and corporate BC plans  | A.Vogel | Dec-14    |
|                                 |   | Excess Deaths/ Mass Fatalities   |   | AMBER               | Currently reviewing with the Local Authorities and LRF  | A.Vogel | Dec-14    |
| 9                               | Ensure that plans are prepared in line with current guidance and good practice which includes:  | <ul style="list-style-type: none"> <li>Aim of the plan, including links with plans of other responders</li> <li>Information about the specific hazard or contingency or site for which the plan has been prepared and realistic assumptions</li> <li>Trigger for activation of the plan, including alert and standby procedures</li> <li>Activation procedures</li> <li>Identification, roles and actions (including action cards) of incident response team</li> <li>Identification, roles and actions (including action cards) of support staff including communications</li> <li>Location of incident co-ordination centre (ICC) from which emergency or business continuity incident will be managed</li> <li>Generic roles of all parts of the organisation in relation to responding to emergencies or business continuity incidents</li> <li>Complementary generic arrangements of other responders (including acknowledgement of multi-agency working)</li> <li>Stand-down procedures, including debriefing and the process of recovery and returning to (new) normal processes</li> <li>Contact details of key personnel and relevant partner agencies</li> <li>Plan maintenance procedures</li> </ul> (Based on Cabinet Office publication Emergency Preparedness, Emergency Planning, Annexes 5B and 5C (2006)) | <ul style="list-style-type: none"> <li>Being able to provide documentary evidence that plans are regularly monitored, reviewed and systematically updated, based on sound assumptions:</li> <li>Being able to provide evidence of an approval process for EPRR plans and documents</li> <li>Asking peers to review and comment on your plans via consultation</li> <li>Using identified good practice examples to develop emergency plans</li> <li>Adopting plans which are flexible, allowing for the unexpected and can be scaled up or down</li> <li>Version control and change process controls</li> <li>List of contributors</li> <li>References and list of sources</li> <li>Explain how to support patients, staff and relatives before, during and after an incident (including counselling and mental health services).</li> </ul> | GREEN               |   |         |           |
| 10                              | Arrangements include a procedure for determining whether an emergency or business continuity incident has occurred. And if an emergency or business continuity incident has occurred, whether this requires changing the deployment of resources or acquiring additional resources.                                 | Enable an identified person to determine whether an emergency has occurred <ul style="list-style-type: none"> <li>Specify the procedure that person should adopt in making the decision</li> <li>Specify who should be consulted before making the decision</li> <li>Specify who should be informed once the decision has been made (including clinical staff)</li> </ul>  | <ul style="list-style-type: none"> <li>Oncall Standards and expectations are set out</li> <li>Include 24-hour arrangements for alerting managers and other key staff.</li> </ul>  | GREEN               |   |         |           |
| 11                              | Arrangements include how to continue your organisation's prioritised activities (critical activities) in the event of an emergency or business continuity incident insofar as is practical.   | Decide: <ul style="list-style-type: none"> <li>Which activities and functions are critical</li> <li>What is an acceptable level of service in the event of different types of emergency for all your services</li> <li>Identifying in your risk assessments in what way emergencies and business continuity incidents threaten the performance of your organisation's functions, especially critical activities</li> </ul>   |   | AMBER               | to be incorporated as part of the corporate BC plan.  | A.Vogel | Dec-15    |
| 12                              | Arrangements explain how VIP and/or high profile patients will be managed.  | This refers to both clinical (including HAZMAT incidents) management and media / communications management of VIPs and / or high profile management  |   | GREEN               |   |         |           |
| 13                              | Preparedness is undertaken with the full engagement and co-operation of interested parties and key stakeholders (internal and external) who have a role in the plan and securing agreement to its content   |  | <ul style="list-style-type: none"> <li>Specify who has been consulted on the relevant documents/ plans etc.</li> </ul>  | GREEN               |   |         |           |
| 14                              | Arrangements include a debrief process so as to identify learning and inform future arrangements  | Explain the de-briefing process (hot, local and multi-agency, cold) at the end of an incident.   |   | GREEN               |   |         |           |
| <b>Command and Control (C2)</b> |   |  |   |                     |   |         |           |
| 15                              | Arrangements demonstrate that there is a resilient single point of contact within the organisation, capable of receiving notification at all times of an emergency or business continuity incident; and with an ability to respond or escalate this notification to strategic and/or executive level, as necessary. | Organisation to have a 24/7 on call rota in place with access to strategic and/or executive level personnel  | Explain how the emergency on-call rota will be set up and managed over the short and longer term.   | GREEN               |   |         |           |
| 16                              | Those on-call must meet identified competencies and key knowledge and skills for staff.   | NHS England published competencies are based upon National Occupation Standards .  | Training is delivered at the level for which the individual is expected to operate (ie operational/ bronze, tactical/ silver and strategic/gold). for example strategic/gold level leadership is delivered via the 'Strategic Leadership in a Crisis' course and other similar courses.   | AMBER               | Training is developed against a self assessment of the NOS. No formal accreditation is provided. Staff should be provided with access to accredited training. | A.Vogel | May-15    |

|  | Core standard  | Clarifying information  | Evidence of assurance   | Self assessment RAG | Action to be taken   | Lead           | Timescale |
|--|--|---|---|---------------------|--|----------------|-----------|
| 17   | Documents identify where and how the emergency or business continuity incident will be managed from, ie the Incident Co-ordination Centre (ICC), how the ICC will operate (including information management) and the key roles required within it, including the role of the loggist . | This should be proportionate to the size and scope of the organisation.   | Arrangements detail operating procedures to help manage the ICC (for example, set-up, contact lists etc.), contact details for all key stakeholders and flexible IT and staff arrangements so that they can operate more than one control/co0ordination centre and manage any events required.  | GREEN               |  |                |           |
| 18   | Arrangements ensure that decisions are recorded and meetings are minuted during an emergency or business continuity incident.  |   |   | GREEN               |  |                |           |
| 19   | Arrangements detail the process for completing, authorising and submitting situation reports (SITREPs) and/or commonly recognised information pictures (CRIP) / common operating picture (COP) during the emergency or business continuity incident response.                          |   |   | GREEN               |  |                |           |
| 20   | Arrangements to have access to 24-hour specialist adviser available for incidents involving firearms or chemical, biological, radiological, nuclear, explosive or hazardous materials, and support strategic/gold and tactical/silver command in managing these events.                | Both acute and ambulance providers are expected to have in place arrangements for accessing specialist advice in the event of incidents chemical, biological, radiological, nuclear, explosive or hazardous materials   |   | AMBER               | nothing on site but access to specialist via telephone. To review arrangements and update plan | <b>A.Vogel</b> | Oct-14    |
| 21   | Arrangements to have access to 24-hour radiation protection supervisor available in line with local and national mutual aid arrangements;  | Both acute and ambulance providers are expected to have arrangements in place for accessing specialist advice in the event of a radiation incident  |   | AMBER               | To review current arrangements and update plans  | <b>A.Vogel</b> | Oct-14    |
| <b>Duty to communicate with the public</b> |  |   |   |                     |  |                |           |
| 22   | Arrangements demonstrate warning and informing processes for emergencies and business continuity incidents.  | <p>Arrangements include a process to inform and advise the public by providing relevant timely information about the nature of the unfolding event and about:</p> <ul style="list-style-type: none"> <li>- Any immediate actions to be taken by responders</li> <li>- Actions the public can take</li> <li>- How further information can be obtained</li> <li>- The end of an emergency and the return to normal arrangements</li> </ul> <p>Communications arrangements/ protocols:</p> <ul style="list-style-type: none"> <li>- have regard to managing the media (including both on and off site implications)</li> <li>- include the process of communication with internal staff</li> <li>- consider what should be published on intranet/internet sites</li> <li>- have regard for the warning and informing arrangements of other Category 1 and 2 responders and other organisations.</li> </ul> | <ul style="list-style-type: none"> <li>• Have emergency communications response arrangements in place</li> <li>• Be able to demonstrate that you have considered which target audience you are aiming at or addressing in publishing materials (including staff, public and other agencies)</li> <li>• Communicating with the public to encourage and empower the community to help themselves in an emergency in a way which compliments the response of responders</li> <li>• Using lessons identified from previous information campaigns to inform the development of future campaigns</li> <li>• Setting up protocols with the media for warning and informing</li> <li>• Having an agreed media strategy which identifies and trains key staff in dealing with the media including nominating spokespeople and 'talking heads'.</li> <li>• Having a systematic process for tracking information flows and logging information requests and being able to deal with multiple requests for information as part of normal business processes.</li> <li>• Being able to demonstrate that publication of plans and assessments is part of a joined-up communications strategy and part of your organisation's warning and informing work.</li> </ul> | GREEN               |  |                |           |

|   | Core standard   | Clarifying information  | Evidence of assurance  | Self assessment RAG | Action to be taken   | Lead       | Timescale |
|---|---|---|--|---------------------|--|------------|-----------|
| 23  | Arrangements ensure the ability to communicate internally and externally during communication equipment failures  |   | • Have arrangements in place for resilient communications, as far as reasonably practicable, based on risk.  | GREEN               |  |            |           |
| <b>Information Sharing – mandatory requirements</b> |   |   |  |                     |  |            |           |
| 24  | Arrangements contain information sharing protocols to ensure appropriate communication with partners.   | These must take into account and include DH (2007) Data Protection and Sharing – Guidance for Emergency Planners and Responders or any guidance which supercedes this, the FOI Act 2000, the Data Protection Act 1998 and the CCA 2004 'duty to communicate with the public', or subsequent / additional legislation and/or guidance.   | <ul style="list-style-type: none"> <li>Where possible channelling formal information requests through as small as possible a number of known routes.</li> <li>Sharing information via the Local Resilience Forum(s) / Borough Resilience Forum(s) and other groups.</li> <li>Collectively developing an information sharing protocol with the Local Resilience Forum(s) / Borough Resilience Forum(s).</li> <li>Social networking tools may be of use here.</li> </ul>   | GREEN               |  |            |           |
| <b>Co-operation</b>                                 |   |   |  |                     |  |            |           |
| 25  | Organisations actively participate in or are represented at the Local Resilience Forum (or Borough Resilience Forum in London if appropriate)   |   | <ul style="list-style-type: none"> <li>Attendance at or receipt of minutes from relevant Local Resilience Forum(s) / Borough Resilience Forum(s) meetings, that meetings take place and membership is quorate.</li> <li>Treating the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership as strategic level groups</li> <li>Taking lessons learned from all resilience activities</li> <li>Using the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership to consider policy initiatives</li> <li>Establish mutual aid agreements</li> <li>Identifying useful lessons from your own practice and those learned from collaboration with other responders and strategic thinking and using the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership to share them with colleagues</li> <li>Having a list of contacts among both Cat. 1 and Cat 2. responders within the Local Resilience Forum(s) / Borough Resilience Forum(s) area</li> </ul>  | GREEN               |  |            |           |
| 26  | Demonstrate active engagement and co-operation with other category 1 and 2 responders in accordance with the CCA  |   | <ul style="list-style-type: none"> <li>Resilience Partnership as strategic level groups</li> <li>Taking lessons learned from all resilience activities</li> </ul>  | GREEN               |  |            |           |
| 27  | Arrangements include how mutual aid agreements will be requested, co-ordinated and maintained.  | NB: mutual aid agreements are wider than staff and should include equipment, services and supplies.   | <ul style="list-style-type: none"> <li>Using the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership to consider policy initiatives</li> <li>Establish mutual aid agreements</li> <li>Identifying useful lessons from your own practice and those learned from collaboration with other responders and strategic thinking and using the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership to share them with colleagues</li> <li>Having a list of contacts among both Cat. 1 and Cat 2. responders within the Local Resilience Forum(s) / Borough Resilience Forum(s) area</li> </ul>  | GREEN               |  |            |           |
| #REF!   | Arrangements demonstrate how organisations support NHS England locally in discharging its EPRR functions and duties   | Examples include completing of SITREPs, cascading of information, supporting mutual aid discussions, prioritising activities and/or services etc.   |  | GREEN               |  |            |           |
| #REF!   | Arrangements are in place to ensure attendance at all Local Health Resilience Partnership meetings at a director level  |   |  | GREEN               |  |            |           |
| <b>Training And Exercising</b>                      |   |   |  |                     |  |            |           |
| 34  | Arrangements include a training plan with a training needs analysis and ongoing training of staff required to deliver the response to emergencies and business continuity incidents               | <ul style="list-style-type: none"> <li>Staff are clear about their roles in a plan</li> <li>Training is linked to the National Occupational Standards and is relevant and proportionate to the organisation type.</li> <li>Training is linked to Joint Emergency Response Interoperability Programme (JESIP) where appropriate</li> <li>Arrangements demonstrate the provision to train an appropriate number of staff and anyone else for whom training would be appropriate for the purpose of ensuring that the plan(s) is effective</li> <li>Arrangements include providing training to an appropriate number of staff to ensure that warning and informing arrangements are effective</li> </ul>   | <ul style="list-style-type: none"> <li>Taking lessons from all resilience activities and using the Local Resilience Forum(s) / Borough Resilience Forum(s) and the Local Health Resilience Partnership and network meetings to share good practice</li> <li>Being able to demonstrate that people responsible for carrying out function in the plan are aware of their roles</li> <li>Through direct and bilateral collaboration, requesting that other Cat 1. and Cat 2 responders take part in your exercises</li> <li>Refer to the NHS England guidance and National Occupational Standards For Civil Contingencies when identifying training needs.</li> <li>Developing and documenting a training and briefing programme for staff and key stakeholders</li> <li>Being able to demonstrate lessons identified in exercises and emergencies and business continuity incidents have been taken forward</li> <li>Programme and schedule for future updates of training and exercising (with links to multi-agency exercising where appropriate)</li> <li>Communications exercise every 6 months, table top exercise annually and live exercise at least every three years</li> </ul> | GREEN               |  |            |           |
| 35  | Arrangements include an ongoing exercising programme that includes an exercising needs analysis and informs future work.  | <ul style="list-style-type: none"> <li>Exercises consider the need to validate plans and capabilities</li> <li>Arrangements must identify exercises which are relevant to local risks and meet the needs of the organisation type and of other interested parties.</li> <li>Arrangements are in line with NHS England requirements which include a six-monthly communications test, annual table-top exercise and live exercise at least once every three years.</li> <li>If possible, these exercises should involve relevant interested parties.</li> <li>Lessons identified must be acted on as part of continuous improvement.</li> <li>Arrangements include provision for carrying out exercises for the purpose of ensuring warning and informing arrangements are effective</li> </ul>   |  | GREEN               |  |            |           |
| 36  | Demonstrate organisation wide (including oncall personnel) appropriate participation in multi-agency exercises  |   |  | GREEN               |  |            |           |
| 37  | Preparedness ensures all incident commanders (oncall directors and managers) maintain a continuous personal development portfolio demonstrating training and/or incident /exercise participation. |   |  | RED                 | SMOC and OCD should maintain records and details of their personal experiences and developments for future reflections | R.Mitchell | May-15    |
| <b>CBRN/HAZMAT</b>                                  |   |   |  |                     |  |            |           |
| <b>Preparedness</b>                                 |   |   |  |                     |  |            |           |
| 38  | There is an organisation specific HAZMAT/ CBRN plan (or dedicated annex)  | Arrangements include: <ul style="list-style-type: none"> <li>command and control interfaces</li> <li>tried and tested process for activating the staff and equipment (inc. Step 1-2-3 Plus)</li> <li>pre-determined decontamination locations and access to facilities</li> <li>management and decontamination processes for contaminated patients and fatalities in line with the latest guidance</li> <li>communications planning for public and other agencies</li> <li>interoperability with other relevant agencies</li> <li>access to national reserves / Pods</li> <li>plan to maintain a cordon / access control</li> <li>emergency / contingency arrangements for staff contamination</li> <li>plans for the management of hazardous waste</li> <li>stand-down procedures, including debriefing and the process of recovery and returning to (new) normal processes</li> </ul> | <ul style="list-style-type: none"> <li>Being able to provide documentary evidence of a regular process for monitoring, reviewing and updating and approving arrangements</li> <li>Version control</li> </ul>   | AMBER               | To be reviewed and updated   | A.Vogel    | Dec-14    |
| 39  | Staff are able to access the organisation HAZMAT/ CBRN management plans.  | Decontamination trained staff can access the plan   | <ul style="list-style-type: none"> <li>Site inspection</li> <li>IT system screen dump</li> </ul>   | GREEN               |  |            |           |
| 40  | HAZMAT/ CBRN decontamination risk assessments are in place which are appropriate to the organisation.   | <ul style="list-style-type: none"> <li>Documented systems of work</li> <li>List of required competencies</li> <li>Impact assessment of CBRN decontamination on other key facilities</li> <li>Arrangements for the management of hazardous waste</li> </ul>  | <ul style="list-style-type: none"> <li>Appropriate HAZMAT/ CBRN risk assessments are incorporated into EPRR risk assessments (see core standards 5-7)</li> </ul>   | AMBER               | Risk assessments to be reviewed  | A.Vogel    | Dec-14    |
| 41  | Rotas are planned to ensure that there is adequate and appropriate decontamination capability available 24/7.   |   | <ul style="list-style-type: none"> <li>Resource provision / % staff trained and available</li> <li>Rota / rostering arrangements</li> </ul>  | GREEN               |  |            |           |
| 42  | Staff on-duty know who to contact to obtain specialist advice in relation to a HAZMAT/ CBRN incident and this specialist advice is available 24/7.  | For example PHE, emergency services.  | <ul style="list-style-type: none"> <li>Provision documented in plan / procedures</li> <li>Staff awareness</li> </ul>   | AMBER               | To be reviewed and updated   | A.Vogel    | Dec-14    |
| <b>Decontamination Equipment</b>                    |   |   |  |                     |  |            |           |

|                 | Core standard  | Clarifying information   | Evidence of assurance   | Self assessment RAG | Action to be taken   | Lead    | Timescale |
|-----------------|--|--|---|---------------------|--|---------|-----------|
| 43              | There is an accurate inventory of equipment required for decontaminating patients in place and the organisation holds appropriate equipment to ensure safe decontamination of patients and protection of staff.  | <ul style="list-style-type: none"> <li>Acute and Ambulance service providers - see Equipment checklist overleaf on separate tab</li> <li>Community, Mental Health and Specialist service providers - see Response Box in 'Preparation for Incidents Involving Hazardous Materials - Guidance for Primary and Community Care Facilities' (NHS London, 2011) (found at: <a href="http://www.londonccn.nhs.uk/_store/documents/hazardous-material-incident-guidance-for-primary-and-community-care.pdf">http://www.londonccn.nhs.uk/_store/documents/hazardous-material-incident-guidance-for-primary-and-community-care.pdf</a>)</li> <li>Initial Operating Response (IOR) DVD and other material: <a href="http://www.jesip.org.uk/what-will-jesip-do/training/">http://www.jesip.org.uk/what-will-jesip-do/training/</a></li> </ul>  | <ul style="list-style-type: none"> <li>completed inventory list (see overleaf) or Response Box (see Preparation for Incidents Involving Hazardous Materials - Guidance for Primary and Community Care Facilities (NHS London, 2011))</li> </ul> | GREEN               |  |         |           |
| 44              | The organisation has the expected number of PRPS suits (sealed and in date) available for immediate deployment should they be required (NHS England published guidance (May 2014) or subsequent later guidance when applicable)                                    | There is a plan and finance in place to revalidate (extend) or replace suits that are reaching the end of shelf life until full capability of the current model is reached in 2017   |   | AMBER               | Additional suits to be purchased and recertified             | A.Vogel | Dec-14    |
| 45              | There are routine checks carried out on the decontamination equipment including:<br>A) Suits<br>B) Tents<br>C) Pump<br>D) RAM GENE (radiation monitor)<br>E) Other decontamination equipment   | There is a named role responsible for ensuring these checks take place   |   | GREEN               |  |         |           |
| 46              | There is a preventative programme of maintenance (PPM) in place for the maintenance, repair, calibration and replacement of out of date Decontamination equipment for:<br>A) Suits<br>B) Tents<br>C) Pump<br>D) RAM GENE (radiation monitor)<br>E) Other equipment |  |   | GREEN               |  |         |           |
| 47              | There are effective disposal arrangements in place for PPE no longer required.   | (NHS England published guidance (May 2014) or subsequent later guidance when applicable)   |   | AMBER               | To be reviewed and updated                                   | A.Vogel | Dec-14    |
| <b>Training</b> |  |  |   |                     |  |         |           |
| 48              | The current HAZMAT/ CBRN Decontamination training lead is appropriately trained to deliver HAZMAT/ CBRN training   |  |   | GREEN               |  |         |           |
| 49              | Internal training is based upon current good practice and uses material that has been supplied as appropriate.   | <ul style="list-style-type: none"> <li>Documented training programme</li> <li>Primary Care HAZMAT/ CBRN guidance</li> <li>Lead identified for training</li> <li>Established system for refresher training so that staff that are HAZMAT/ CBRN decontamination trained receive refresher training within a reasonable time frame (annually).</li> <li>A range of staff roles are trained in decontamination techniques</li> <li>Include HAZMAT/ CBRN command and control training</li> <li>Include ongoing fit testing programme in place for FFP3 masks to provide a 24/7 capacity and capability when caring for patients with a suspected or confirmed infectious respiratory virus</li> <li>Including, where appropriate, Initial Operating Response (IOR) and other material: <a href="http://www.jesip.org.uk/what-will-jesip-do/training/">http://www.jesip.org.uk/what-will-jesip-do/training/</a></li> </ul> | <ul style="list-style-type: none"> <li>Show evidence that achievement records are kept of staff trained and refresher training attended</li> <li>Incorporation of HAZMAT/ CBRN issues into exercising programme</li> </ul>                      | AMBER               | Training materials to be refressed to include new procedures | A.Vogel | Dec-14    |
| 50              | The organisation has sufficient number of trained decontamination trainers to fully support it's staff HAZMAT/ CBRN training programme.  |  |   | GREEN               |  |         |           |
| 51              | Staff that are most likely to come into first contact with a patient requiring decontamination understand the requirement to isolate the patient to stop the spread of the contaminant.  | <ul style="list-style-type: none"> <li>Including, where appropriate, Initial Operating Response (IOR) and other material: <a href="http://www.jesip.org.uk/what-will-jesip-do/training/">http://www.jesip.org.uk/what-will-jesip-do/training/</a></li> <li>Community, Mental Health and Specialist service providers - see Response Box in 'Preparation for Incidents Involving Hazardous Materials - Guidance for Primary and Community Care Facilities' (NHS London, 2011) (found at: <a href="http://www.londonccn.nhs.uk/_store/documents/hazardous-material-incident-guidance-for-primary-and-community-care.pdf">http://www.londonccn.nhs.uk/_store/documents/hazardous-material-incident-guidance-for-primary-and-community-care.pdf</a>)</li> </ul>  |   | AMBER               | Training to reflect updated national guidance                | A.Vogel | Dec-14    |

|   |    |      |
|---|----|------|
| Totals                                  | 91 | %    |
| GREEN                                   | 65 | 71.4 |
| AMBER                                   | 20 | 22.0 |
| RED                                     | 6  | 6.6  |
| EPRR CORE STANDARDS                     | 46 | %    |
| GREEN                                   | 35 | 76.1 |
| AMBER                                   | 10 | 21.7 |
| RED                                     | 1  | 2.2  |
| HAZMAT STANDARDS                        | 14 | %    |
| GREEN                                   | 7  | 50   |
| AMBER                                   | 7  | 50.0 |
| RED                                     | 0  | 0.0  |
| HAZMAT EQUIPMENT - Separate Spreadsheet | 31 | %    |
| GREEN                                   | 23 | 74.2 |
| AMBER                                   | 3  | 9.7  |
| RED                                     | 5  | 16.1 |

HAZMAT CBRN equipment list - for use by Acute and Ambulance service providers in relation to Core Standard 43.

| No  | Equipment  | Equipment model/ generation/ details etc.   | Self assessment RAG<br>Red = Not in place and not in the EPRR work plan to be in place within the next 12 months.<br>Amber = Not in place and in the EPRR work plan to be in place within the next 12 months.<br>Green = In place. | Action to be taken   | Lead        | Timescale            |
|---|--|---|--|--|-------------|----------------------|
| <b>EITHER: Inflatable mobile structure</b>        |  |   |  |  |             |                      |
| E1  | Inflatable frame   |   |  |  |             |                      |
| E1.1  | Liner  |   |  |  |             |                      |
| E1.2  | Air inflator pump  |   |  |  |             |                      |
| E1.3  | Repair kit   |   |  |  |             |                      |
| E1.2  | Tethering equipment  |   |  |  |             |                      |
| <b>OR: Rigid/ cantilever structure</b>            |  |   |  |  |             |                      |
| E2  | Tent shell   | PPS Radpid Pro 2 Line 7   | GREEN  |  |             |                      |
| <b>OR: Built structure</b>                        |  |   |  |  |             |                      |
| E3  | Decontamination unit or room   |   |  |  |             |                      |
| <b>AND:</b>                                       |  |   |  |  |             |                      |
| E4  | Lights (or way of illuminating decontamination area if dark)   | Slam Tube Lighting - provided with decon tent   | GREEN  |  |             |                      |
| E5  | Shower heads   | 2x Showers 2x brushes - provided with decon tent  | GREEN  |  |             |                      |
| E6  | Hose connectors and shower heads   | standard equipment provided with decon tent and additional extentions                   | GREEN  |  |             |                      |
| E7  | Flooring appropriate to tent in use (with decontamination basin if needed)   | floor tiles as provided with the decon tent   | GREEN  |  |             |                      |
| E8  | Waste water pump and pipe  | Compact water pump  | GREEN  |  |             |                      |
| E9  | Waste water bladder  | oil drums x4  | GREEN  |  |             |                      |
| <b>PPE for chemical, and biological incidents</b> |  |   |  |  |             |                      |
| E10   | The organisation (acute and ambulance providers only) has the expected number of PRPS suits (sealed and in date) available for immediate deployment should they be required. (NHS England published guidance (May 2014) or subsequent later guidance when applicable). | 15x currently in service<br>4x require recertification<br>5x additional to be purchased | AMBER  | 4 suits are to be recertified<br>5 to be purchased                                   | Aaron Vogel | Nov 2014<br>Dec 2014 |
| E11   | Providers to ensure that they hold enough training suits in order to facilitate their local training programme   | x10   | GREEN  |  |             |                      |
| <b>Ancillary</b>                                  |  |   |  |  |             |                      |
| E12   | A facility to provide privacy and dignity to patients  | Derobe and rerobe areas built into the decon tent                                       | GREEN  |  |             |                      |
| E13   | Buckets, sponges, cloths and blue roll   |   | GREEN  |  |             |                      |
| E14   | Decontamination liquid (COSHH compliant)   |   | AMBER  | To be checked as part of the equipment review in line with new national requirements | Aaron Vogel | Oct-14               |
| E15   | Entry control board (including clock)  | To be included in the revised   | AMBER  | To be checked as part of the equipment review in line with new national requirements | Aaron Vogel | Oct-14               |
| E16   | A means to prevent contamination of the water supply   |   | RED  | Capture tanks are in place but need to review how we address spillages               | Aaron Vogel | Oct-14               |
| E17   | Poly boom (if required by local Fire and Rescue Service)   | Arrangements in place with the Fire Service none held locally                           | GREEN  |  |             |                      |
| E18   | Minimum of 20 x Disrobe packs or suitable equivalent (combination of sizes)  |   | GREEN  |  |             |                      |
| E19   | Minimum of 20 x re-robe packs or suitable alternative (combination of sizes - to match disrobe packs)  |   | GREEN  |  |             |                      |
| E20   | Waste bins   |   | GREEN  |  |             |                      |
|   | Disposable gloves  |   | GREEN  |  |             |                      |
| E21   | Scissors - for removing patient clothes but of sufficient calibre to execute an emergency PRPS suit disrobe  |   | GREEN  |  |             |                      |
| E22   | FFP3 masks   |   | GREEN  |  |             |                      |
| E23   | Cordon tape  |   | GREEN  |  |             |                      |
| E24   | Loud Hailer  |   | GREEN  |  |             |                      |
| E25   | Signage  |   | GREEN  |  |             |                      |
| E26   | Tabbards identifying members of the decontamination team   |   | GREEN  |  |             |                      |
| E27   | Chemical Equipment Assesment Kits (ChEAKs) (via PHE) (replaced Toxboxes in 2010)   |   | RED  | Requirements to be identified  | Aaron Vogel | Oct-14               |
| <b>Radiation</b>                                  |  |   |  |  |             |                      |
| E28   | RAM GENE monitors (x 2 per Emergency Department and/or HART team)  |   | GREEN  |  |             |                      |
| E29   | Hooded paper suits   |   | RED  | To check requirements and levels of PPE with the Radiation Protection Officer        | Aaron Vogel | Oct-14               |
| E30   | Goggles  |   | RED  |  | Aaron Vogel | Oct-14               |
| E31   | FFP3 Masks - for HART personnel only   |   | GREEN  |  |             |                      |
| E32   | Overshoes & Gloves   |   | RED  | To check requirements and levels of PPE with the Radiation Protection Officer        | Aaron Vogel | Oct-14               |

Totals  
RED 5  
AMBER 3  
GREEN 23

